

DEPARTMENT OF THE NAVY
Office of the Chief of Naval Operations
Washington DC 20350-2000
and
Headquarters,
UNITED STATES MARINE CORPS
Washington DC 20380-1775

CH-1 OF 2
JUN 1999
OPNAVINST 5530.13B
N09N1
CMC-POS-10
5 July 1994

OPNAV INSTRUCTION 5530.13B

From: Chief of Naval Operations
Commandant of the Marine Corps
To: All Ships and Stations

Subj: DEPARTMENT OF THE NAVY
PHYSICAL SECURITY INSTRUCTION
FOR CONVENTIONAL ARMS,
AMMUNITION, AND EXPLOSIVES
(AA&E)

Ref: (a) DOD 5100.76-M of Sep 92, "Physical
Security of Sensitive Conventional
Arms, Ammunition, and Explosives"
(NOTAL)

Encl: (1) Department of the Navy Physical
Security Instruction for Conventional
Arms, Ammunition, and Explosives
(AA&E)

1. Purpose. To issue policies set forth in reference
(a) and provide additional Department of the Navy
(DON) policy and guidance for the protection of
conventional AA&E against loss or theft.

2. Cancellation. OPNAVINST 5530.13A.

3. Scope. This instruction applies to all
conventional AA&E owned by or contracted by DON
and maintained on a permanent basis.

4. Discussion. This instruction is a complete
revision and must be reviewed in its entirety.
Conventional AA&E must be protected because of
its potential for misuse, capability to cause injury,
and vital role in national defense. Emphasis is placed
on the commanding officer's responsibility to ensure
that the command AA&E security posture is accurately
assessed and resources are appropriate to execute
security programs.

5. Responsibilities. The following command
responsibilities are established:

a. Commanding Officers. Commanding offi-
cers are responsible for the physical security of AA&E
within their commands. The security officer/provost
marshal will be the designated representative of the
commanding officer, responsible for the planning,
implementation, enforcement, and supervision of com-
mand AA&E security.

b. Echelon 2 and Subordinate Commands.
DON Echelon 2 and subordinate commanders are
responsible for overseeing implementation of this
instruction by means such as inspections and inventory
effectiveness reviews to ensure compliance throughout
their activities.

c. Chief of Naval Operations (CNO) (N09N).
The Special Assistant for Naval Investigative Matters
and Security (N09N) will oversee management and
coordination of the AA&E physical security program
in the Navy, and will:

(1) Develop Navy AA&E physical security
policy and oversee its implementation.

(2) Advise and assist Navy commanders in
developing and maintaining effective AA&E security
programs.

(3) Evaluate adequacy of security provided
to Navy AA&E and support funding requirements via
the Baseline Assessment Memorandum (BAM) proc-
ess.

(4) Ensure that DON AA&E in the custody
of contractors is protected to the level prescribed here,
and that contracts properly reflect these requirements.

**d. Commandant of the Marine Corps (CMC)
(POS-10).** CMC (POS-10) manages the AA&E phys-
ical security program for the Marine Corps, requesting
support from the Navy as required. Decisions affect-
ing the Marine Corps AA&E physical security pro-
gram will be made by CMC (POS-10) except that
transportation requirements will be made by CMC
(LFT).

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e. Commander, Naval Sea Systems Command (COMNAVSEASYS COM). COMNAVSEASYS COM (and as further delegated to Naval Ordnance Center) is assigned as the program manager for the Navy's AA&E physical security and ordnance transportation security programs. Tasks include:

(1) Evaluate the Navy AA&E physical security posture and develop cost effective upgrades.

(2) Except for Electronic Security Systems (ESS), budget and program for all AA&E physical security program funding and provide CNO (N09N1) with Navy-wide resource requirements and related 6-year plans.

(3) Centrally manage, analyze, and maintain Navy statistical data on AA&E physical security matters and monitor AA&E physical security program objectives to ensure compliance with policies and standards.

(4) Assist Navy commands in determining funding, manpower, and other resource requirements necessary to meet AA&E security responsibilities.

(5) Assist Navy and Marine Corps commands by providing technical direction on security related issues.

(6) Implement Navy policy and guidance for ordnance transportation security.

(7) Act as Department of Defense (DOD) executive agent for the joint service Defense Transportation Tracking System (DTTS).

(8) Implement Navy policy and guidance for the Ordnance Inventory Accuracy Management Program.

f. Commanding Officer, Naval Command, Control and Ocean Surveillance Center, In Service Engineering, East Coast Division (NISE East). NISE East is the Navy's central point-of-contact on AA&E ESS matters and coordinates with other concerned activities. (Note: CMC (POS-10) will

coordinate the Marine Corps ESS program, requesting assistance as required). Tasks include:

(1) In response to CNO (N09N) tasking, provide management, engineering, and technical support for ESS installations at AA&E sites.

(2) Determine the specific design requirements necessary for implementing CNO criteria and directives for ESS at designated AA&E sites.

(3) Prepare AA&E ESS equipment acquisition, budgeting, integrated logistic support, and installation plans.

(4) Review AA&E ESS documents prepared by other activities and serve as approval authority for ESS designs.

g. Commander, Naval Facilities Engineering Command (COMNAVFACENCCOM). COMNAVFACENCCOM provides engineering design and construction criteria including military handbooks, standard construction drawings, and specifications for secure structures including magazines for AA&E facilities.

h. Commander, Naval Supply System Command (COMNAVSUPSYSCOM). Based on policy requirements established by NAVORDCEN and higher authority, COMNAVSUPSYSCOM manages AA&E transportation programs including second destination transportation. That responsibility includes physical security improvements and transportation facility modifications necessary to meet the requirements of this instruction.

i. DON Contracting Activities. DON contracting activities must:

(1) Ensure that contracts involving Risk Category AA&E at contractor facilities describe the standards of protection required in appendix D of this instruction and ensure by contractual clause access to prime and subcontractor facilities to enable the government to conduct security surveys, inspections, and investigations.

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(2) Provide, at the time of the contract award and at renewal, cognizant Defense Investigative Service (DIS) industrial security offices copies of each AA&E contract or pertinent extracts (contract physical security standards) that involve risk category AA&E. Include a listing of Government Furnished Equipment (GFE) as part of the pertinent extracts.

(3) Notify the cognizant DIS industrial security office when preaward surveys are conducted to allow for their participation in the survey (see appendix D for list of offices and addresses).

(4) Ensure that AA&E shipments to a DON facility from a contractor or subcontractor facility meet the provisions of this instruction.

(5) Ensure that AA&E shipments in connection with DON contracts are shipped between contractors or subcontractors in accordance with this instruction.

(6) Ensure that AA&E is identified by risk category in all applicable prime and subcontracts, to guarantee correct identification and complete understanding by DIS industrial security inspectors, the Administrative Contracting Officer (ACO), and prime and subcontractors concerned.

(7) Advise the cognizant DIS industrial security office of actions taken by contractors, subcontractors, government procuring officers, or government contract administration officers, in response to DIS AA&E inspection deficiencies and recommendations.

6. Action. Compliance with this instruction and enclosure (1) is mandatory for all DON personnel concerned with security, storage, and transport of conventional AA&E.

7. Forms. DD 1348-1 (DOD Single Line Item Release/Receipt Document) S/N 0102-LF-013-1040 (white), S/N 0102-LF-013-1050 (yellow), S/N 0102-LF-013-1060 (pink); and DD 1907 (Signature and Tally Record) S/N 0102-LF-010-0400 are available through normal Navy supply channels under NAVSUP P-2002. Standard Form 361 (Transportation Discrepancy Report) NSN 7540-00-965-2403 and Standard Form 364 (Report of Discrepancy) NSN 7540-00-159-4442 are available from GSA.

8. Report. Symbol DD-C3I (AR) 1358 has been assigned to the reporting requirement contained in

chapter 8, and is approved for 3 years from the date of this directive.

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